

# Inelastic scattering formalism (ionisation)

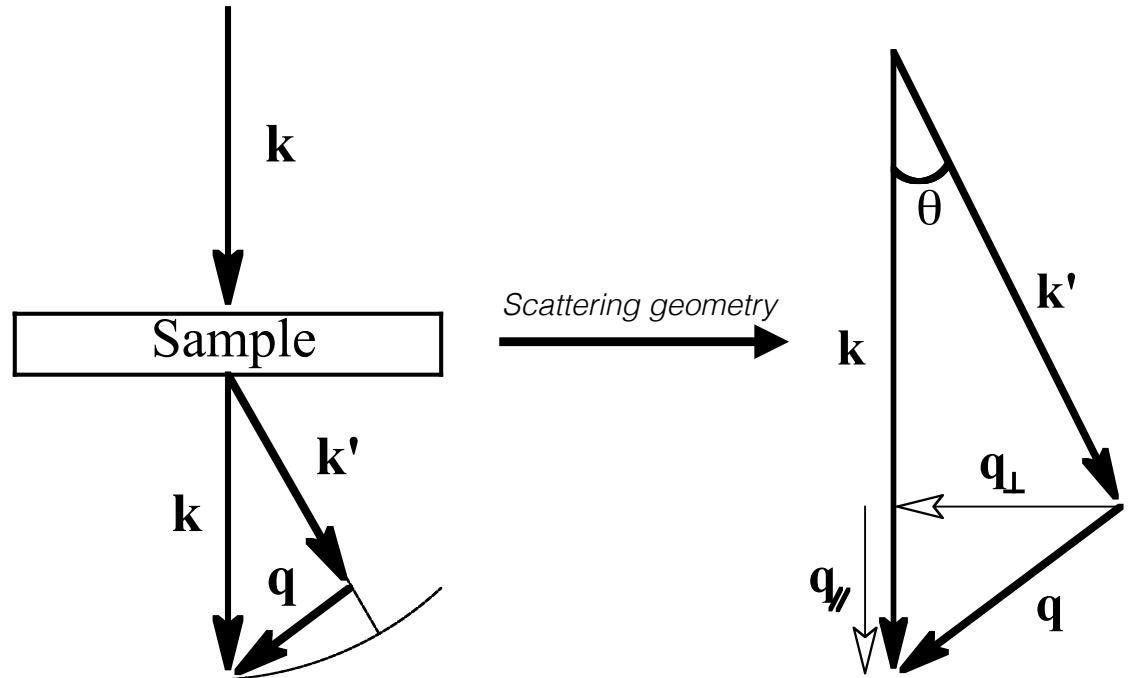
**Duncan Alexander**

EPFL-IPHYS-LSME

# EPFL Scattering geometry

$\vec{k}$  – incident wave-vector

$\vec{k}'$  – scattered wave-vector



$\vec{q}$  – wave-vector transfer/momentum transfer  
for inelastic scattering from an atom

$\theta_E$  – describes shortening of wave-vector from  
scattering i.e.  $|\vec{k}| = k = |\vec{k}'| + k\theta_E$

For energy loss  $E$ :  $\theta_E = \frac{Em\gamma}{\hbar^2 k^2}$

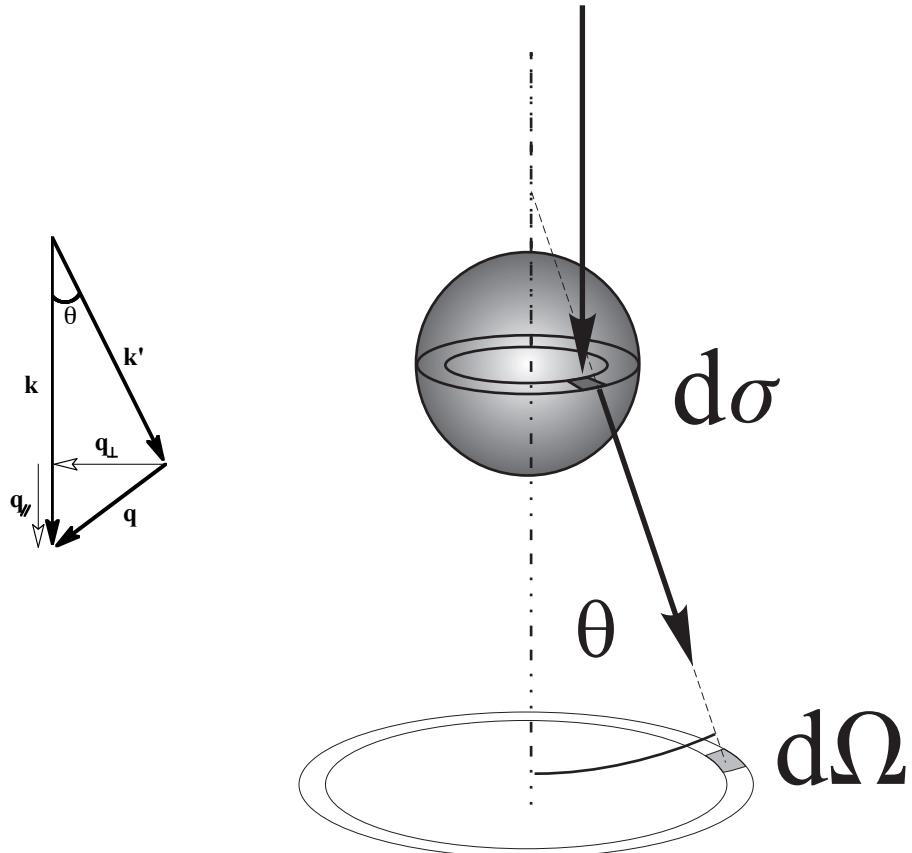
$$q^2 = k^2 + (k')^2 - 2kk'\cos\theta$$

$$q_{\perp} = k\vartheta \text{ (geometry)}$$

$$q_{\parallel} = k\vartheta_E \text{ (definition of } \vartheta_E)$$

$$\vartheta \ll 1 \text{ therefore } q^2 = k^2(\vartheta_E^2 + \vartheta^2)$$

# EPFL Scattering cross section



Want to know the probability of energy-loss scattering from one atom.

Relevant quantity: *scattering cross-section* as a function of angle  $\theta$  and energy loss  $E$ .

This is a differential for:  
Solid angle  $d\Omega$   
Interval of energy loss  $dE$

We consider a transition from initial state  $| I \rangle$  to final state  $| F \rangle$  for the core electron of the atom

# EPFL Theory of core losses

Transition from core (occupied) to unoccupied state

Cannot be treated classically – we need quantum mechanics!

System = fast incoming electron + target electron

Target electron transitions from  $| I \rangle$  to final state  $| F \rangle$

Incoming electron changes momentum from  $\vec{k}$  to  $\vec{k}'$

Use:

First order perturbation theory

First Born approximation

Perturbation potential is Coulomb potential

H.A.Bethe: 1930:

Zur Theorie des Durchgangs schneller Korpuskularstrahlen  
durch Materie

Annalen der Physik, vol. 397, Issue 3, pp.325-400



# EPFL Theory of core loss

Transition probability per unit time  $dP_{if}$  from an initial state  $|i\rangle$  to a final state  $|f\rangle$  situated between  $\nu_f$  and  $\nu_f + d\nu_f$ .

$$dP_{if} = \frac{2\pi}{\hbar} |\langle f | V | i \rangle|^2 d\nu_f \delta(E_i - E_f)$$

Initial and final state of the system :

$$|i\rangle = |\vec{k}_a\rangle \otimes |I\rangle$$

*and*

$$|f\rangle = |\vec{k}_b\rangle \otimes |F\rangle$$

$|I\rangle$  and  $|F\rangle$  Initial and final states of the target electron.

# EPFL Theory of core loss

$\vec{k}_a$  before the interaction  $\vec{k}_b$  after.

$$\vec{q} = \vec{k}_a - \vec{k}_b$$

$$dP_{if} = \frac{2\pi}{\hbar} \left| \langle F | \otimes \langle \vec{k}_b | V | \vec{k}_a \rangle \otimes | I \rangle \right|^2 d\nu_f \delta(E_I - E_F + E)$$

$$\langle \vec{k}_b | V | \vec{k}_a \rangle ??$$

$$\langle \vec{k}_b | V | \vec{k}_a \rangle = \frac{1}{4\pi\epsilon_0} \int (2\pi)^{-3} d^3 r \frac{e^2}{|\vec{r} - \vec{R}|} e^{i(\vec{k}_a - \vec{k}_b) \vec{R}}$$

$\vec{r}$  position vector of the fast electron  $\vec{R}$  of the target electron

# EPFL Theory of core loss

$$\langle \vec{k}_b | V | \vec{k}_a \rangle = \frac{e^2}{(2\pi)^3 \epsilon_0 q^2} e^{i \vec{q} \cdot \vec{R}}$$

$$dP_{if} = \frac{e^4}{(2\pi)^5 \hbar \epsilon_0^2 q^4} |\langle F | e^{i \vec{q} \cdot \vec{R}} | I \rangle|^2 d\nu_f \delta(E_I - E_F + E)$$

# EPFL Theory of core loss

$$d\sigma = \sum_{i,f} \frac{dP_{if}}{j_0}$$

$j_0$  current density of the plane wave

$$\psi_{\vec{k}_a}(\vec{R}) = (2\pi)^{-3/2} e^{i\vec{k}_a \cdot \vec{R}} \quad j_0 = (\hbar k_a) / ((2\pi)^3 m)$$

$$d\sigma = \sum_{I,F} \frac{me^4}{(\hbar 2\pi \epsilon_0)^2 q^4 k_a} |\langle F | e^{i\vec{q} \cdot \vec{R}} | I \rangle|^2 d\nu_f \delta(E_I - E_F + E)$$

$d\nu_f = d\nu_t d\nu_e$  ( $d\nu_t$  : target electron,  $d\nu_e$  : fast electron)

$$d\nu_e = (k_b m) / \hbar^2 dE d\Omega$$

# EPFL Theory of core loss

If the final state is expressed in an orthogonal basis set :

$$d\nu_t = 1$$

$$d\nu_f = k_b \frac{m}{\hbar^2} dE d\Omega$$

$$\frac{\partial^2 \sigma}{\partial E \partial \Omega} = \sum_{I,F} 4 \frac{m^2 e^4}{\hbar^4 (4\pi)^2 \epsilon_0^2 q^4} \frac{k}{k'} |\langle F | e^{i\vec{q} \cdot \vec{R}} | I \rangle|^2 \delta(E_I - E_F + E)$$

Relativistic effects :  $m \rightarrow \gamma m$

# EPFL Theory of core loss

Arrive at an expression for the **double differential scattering cross-section (DDSCS)**:

$$\frac{\partial^2 \sigma}{\partial E \partial \Omega} = \sum_{I,F} \frac{4\gamma^2}{a_0^2 q^4} \frac{k_b}{k_a} |\langle F | e^{i\vec{q} \cdot \vec{R}} | I \rangle|^2 \delta(E_I - E_F + E) = \frac{4\gamma^2}{a_0^2 q^4} \frac{k_b}{k_a} S(\vec{q}, E)$$

Use **Bohr's radius**:  $a_0 = \frac{4\pi\epsilon_0 \hbar^2}{me^2}$

$$\vec{q} = \vec{k}_a - \vec{k}_b$$

$$\gamma = (1 - v^2/c^2)^{-1/2}$$

Assign the following term as the **dynamic structure factor**  $S(\vec{q}, E)$ :

$$S(\vec{q}, E) = \sum_F |\langle F | e^{i\vec{q} \cdot \vec{R}} | I \rangle|^2 \delta(E_I - E_F + E)$$

# EPFL Theory of core losses

$S(\vec{q}, E)$  is a matrix element between initial and final states

Dipole approximation

$$S(\vec{q}, E) = \sum_F |\langle F | e^{i\vec{q} \cdot \vec{R}} | I \rangle|^2 \delta(E_I - E_F + E)$$

If  $\vec{q} \cdot \vec{R} \ll 1$  we can write  $e^{i\vec{q} \cdot \vec{R}} \simeq 1 + i\vec{q} \cdot \vec{R}$

$$S(\vec{q}, E) = \sum_F |\langle F | i\vec{q} \cdot \vec{R} | I \rangle|^2 \delta(E_I - E_F + E)$$

In conclusion, we have:  $S(\vec{q}, E) \propto q^2$  and  $\frac{\partial^2 \sigma}{\partial E \partial \Omega} \propto \frac{1}{q^4} S(\vec{q}, E)$

Therefore, the DDSCS for inelastic events varies as  $\frac{1}{q^2}$

# EPFL Theory of core losses

Since:  $q^2 = k^2(\theta^2 + \theta_E^2)$

DDSCS has an angular dependence:  $\text{DDSCS}(\theta) = \frac{\partial^2 \sigma}{\partial \Omega \partial E} \propto \frac{1}{\theta^2 + \theta_E^2}$

Therefore the ionisation edge has an angular distribution of intensity that is **Lorentzian**

$\theta_E$  is the scattering angle for the half width at half maximum (HWHM) of this Lorentzian

$\theta_E$  is therefore considered as the *characteristic angle of scattering*, because most of the ionisation edge intensity will fall within a collection aperture of this angle

# EPFL Inelastic scattering angular range

- Inelastic scattering concentrated into much smaller angles than elastic scattering
- Characteristic angle for scattering:  $\theta_E = \frac{Em\gamma}{\hbar^2 k^2} = \frac{E}{\gamma mv^2} (\approx \frac{E}{2E_0})$   $E_0$ : incident beam energy

